



04-22-03

1648  
#29

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Von Seggern *et al.*  
Serial No.: 09/482,682  
Filed: January 14, 2000  
Conf. No.: 7337  
For: **ADENOVIRUS VECTORS, PACKAGING  
CELL LINES, COMPOSITIONS, AND  
METHODS FOR PREPARATION AND  
USE**  
Art Unit: 1648  
Examiner: Foley, S.A.

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EV 260180296 US

Date of Deposit April 18, 2003

I hereby certify that this paper is being deposited  
with the United States Postal "Express Mail Post  
Office to Addressee" Service under 37 C.F.R.  
§1.10 on the date indicated above and addressed  
to:

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

04/18/2003

Date

Michael Lough

## TRANSMITTAL LETTER

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Transmitted herewith are an Information Disclosure Statement, Form PTO-1449 (3 pages) and cited references for filing in connection with the above-identified application.

- [ X ] The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman  
Registration No. 33,779

Attorney Docket No. 22908-1235B  
**Address all correspondence to:**  
Stephanie L. Seidman  
HELLER EHRMAN WHITE & McAULIFFE LLP  
4350 La Jolla Village Drive, 7th floor  
San Diego, CA 92122-1246  
Telephone: (858) 450-8400  
Facsimile: (858) 587-5360  
EMAIL: sseidman@hewm.com

RECEIVED

APR 24 2003

TECH CENTER 1600/2900



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Von Seggern *et al.*

Serial No.: 09/482,682

Filed: January 14, 2000

Conf. No.: 7337

For: *ADENOVIRUS VECTORS, PACKAGING  
CELL LINES, COMPOSITIONS, AND  
METHODS FOR PREPARATION AND  
USE*

Art Unit: 1648

Examiner: Foley, S.A.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

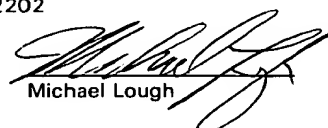
EV 260180296 US

Date of Deposit April 18, 2003

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on the date indicated above and addressed to:

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

04/18/2003  
Date

  
Michael Lough

**INFORMATION DISCLOSURE STATEMENT IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

**RECEIVED**

APR 24 2003

TECH CENTER 1600/2900

Dear Sir:

Since this Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the Continued Prosecution Application of the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (3 pages) is provided herewith in connection with the above-captioned application. The cited documents listed on Form PTO-1449 and marked with an asterisk are not provided herewith as they have been previously provided in connection with U.S. Serial No. 09/795,292 which is relied upon for an earlier filing date in accordance with 35 U.S.C. §120.

**U.S.S.N. 09/482,682**  
**Von Seggern *et al.***  
**Information Disclosure Statement**

The above-captioned application designates five inventors, Daniel J. Von Seggern, Glen R. Nemerow, Paul L. Hallenbeck, Susan C. Stevenson and Yelena Skripchenko and two assignees, The Scripps Research Institute and Novartis AG. Daniel J. Von Seggern and Glen R. Nemerow assigned to The Scripps Research Institute. Paul L. Hallenbeck, Susan C. Stevenson and Yelena Skripchenko assigned to Novartis AG. Thus, the above-captioned application has joint inventors and two assignees.

The cited documents, listed on Form PTO-1449, are in the English language, with the exception of items H and Q. Item H, which is in the French language, is provided with an English language Derwent abstract (item O). Item Q, which is in the Chinese language, is provided with an English language abstract on the first page of the publication. Item N is a certified English translation of item AT from the Information Disclosure Statement and Form PTO-1449 filed on December 3, 2001. Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. and International applications, which are commonly owned and/or have one or more inventors in common:

<u>U.S.S.N.</u>	<u>Filing Date</u>	<u>Docket No.</u>
SF 09/562,934	05/01/00	22908-1226
09/847,101	05/01/01	22908-1226B
09/586,625	06/02/00	22908-1227B
SF 09/903,327	07/10/01	22908-1228B
<del>Unassigned</del>	<del>04/08/03</del>	<del>22908-1229</del>
SF 60/408,931	09/04/02	22908-P1230
SF 60/408,440	09/04/02	22908-P1231
SF 60/408,248	09/04/02	22908-P1232
<del>09/795,292</del>	<del>01/14/99</del>	<del>22908-1235</del>
SF 10/351,890	01/24/02	22908-1236
<del>Unassigned</del>	<del>03/27/03</del>	<del>22908-1236B</del>
<del>Unassigned</del>	<del>03/28/03</del>	<del>22908-P1239</del>

<u>Int'l App. No.</u>	<u>Filing Date</u>	<u>Docket No.</u>
SF PCT/EP01/04863	04/30/01	22908-1226PC
SF PCT/EP01/07878	07/09/01	22908-1228PC
SF PCT/US03/02295	01/24/02	22908-1236PC

*Sharon Foley* 9/5/03

**U.S.S.N. 09/482,682**  
**Von Seggern *et al.***  
**Information Disclosure Statement**

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

\* \* \*

Respectfully submitted,  
HELLER EHRMAN WHITE & McAULIFFE LLP

By: \_\_\_\_\_

Stephanie L. Seidman  
Registration No. 33,779

Attorney Docket No.: 22908-1235B  
**Address all correspondence to:**  
Stephanie L. Seidman  
HELLER EHRMAN WHITE & McAULIFFE LLP  
4350 La Jolla Village Drive, 7th Floor  
San Diego, California 92122-1246  
Telephone: (858) 450-8400  
Facsimile: (858) 587-5360  
EMAIL: sseidman@hewm.com